

Investment Objective:

The primary objective is to receive dividend income. The secondary objective is to achieve capital appreciation.

Investment Strategy:

The strategy is to invest in stocks which are the best performing dividend-paying stocks in their industry. Best performers are those companies which are outperforming their industry peers on a fundamental and technical (price) basis.

Portfolio Construction Process:

Over 1,000 companies are reviewed for this portfolio. The universe is then reduced to less than 400 companies which pay dividends. Various industry groups are studied to find those companies which have the best combination of dividend-paying, fundamental and technical attributes. The portfolio is diversified with the best performing stocks

Risk Management Strategy:

The investment management process applies a risk management overlay to the strategy. The goal is to prevent a large loss in the overall portfolio from any individual stock's poor performance. Typically between 30 to 35 stocks are utilized in the management of the Optimates' Portfolio when it is fully invested. A further, risk reduction technique is undertaken when a client's portfolio is originally implemented as the dollars invested in each stock are equally-weighted. Additionally, the portfolio investments generally approximate the S&P 500 Sector weighting's of the S&P 500 index. If appropriate, a stock will be replaced with one having more favorable attributes.

Please Note: Changes in market conditions or a company's financial condition may impact the company's Ability to continue to pay dividends. Companies may also choose to discontinue to pay dividends.

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