

Investment Objective:

The primary objective of this strategy is capital appreciation.

Investment Strategy:

The strategy is to invest in stocks which are the best performing in their industry group. Best performers are those companies exhibiting the best fundamental and technical (price) performance. Investing in these market leaders is intended to result in market outperformance. (1)

Portfolio Construction Process:

Over 1,000 companies are reviewed for this portfolio. The stocks are segmented into various industry sub-sectors . Stocks are rated within each of the sub-sectors. Those stocks with the most favorable attributes are selected. Stock market and economic cycle conditions are constantly analyzed. The final portfolio is diversified with those stocks deemed to have the greatest likelihood of outperforming the market.

Risk Management Strategy:

The investment management process applies a risk management overlay to the strategy. The goal is to prevent a large loss in the overall portfolio from any individual stock’s poor performance. Typically between 30 to 35 stocks are utilized in the management of the Optimates’ Portfolio when it is fully invested. A further, risk reduction technique is undertaken when a client’s portfolio is originally implemented as the dollars invested in each stock are equally-weighted. Additionally, the portfolio investments generally approximate the S&P 500 Sector weighting’s of the S&P 500 index.

(1) There is no guarantee that this investment strategy will result in market outperformance.

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