

Investment Objective:

The primary objectives are to receive growth of capital and dividend income. The dividend yield is intended to approximate the yield of the S&P 500 market index.

Investment Strategy:

The strategy is to achieve a core, balanced approach to investing in stocks which are the best performing growth or dividend-paying stocks in their industry. Best performers are those companies which are outperforming their industry peers on a fundamental and technical (price) basis.

Portfolio Construction Process:

Over 1,000 companies are reviewed for this portfolio. All industry groups are studied to find those companies which have the best growth or dividend-paying characteristics. Fundamental and technical attributes are the cornerstone of the selection process. The portfolio is diversified evenly among those stocks with with the best performing growth or dividend income attributes.

Risk Management Strategy:

The investment management process applies a risk management overlay to the strategy. The goal is to prevent a large loss in the overall portfolio from any individual stock's poor performance. Typically between 45 to 50 stocks are utilized in the OPUS portfolio when it is fully invested. When a client's portfolio is originally implemented the investments in each stock are equally-weighted. Additionally, the portfolio investments generally approximate the industry Sector weighting's in the S&P 500 index. If appropriate, a stock will be replaced with one having more favorable attributes.

Please Note: There is no guarantee that this strategy will outperform the market. Changes in market conditions or a company's financial condition may impact the company's ability to continue to pay dividends. Companies may also choose to discontinue to pay dividends.

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